



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Michael Rosen, *et al.*

Serial No.: 09/941,155

Group Art Unit: 3692

Filed: 08/28/2001

Examiner: Frantzy Poinvil

For: SYSTEM AND METHOD FOR
IMPROVED MULTIPLE REAL-
TIME BALANCING AND
STRAIGHT THROUGH
PROCESSING OF SECURITY
TRANSACTIONS

Atty. Docket No.: 717-001

Honorable Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE

S I R:

In response to the October 31, 2006 Office Action in the above mentioned case,
Applicants respectfully request reconsideration in view of the following amendments and
remarks:

Amendments to the Claims begin on Page 2 of this paper.

Remarks begin on Page 12 of this paper.